# AUP Alignment

WISE, February, 2018 Ian Neilson, STFC

## AARC2 - e-Researcher-centric policies (NA3.3)

- Inventory of high-assurance identity requirements from the AARC2 use cases
  - <u>Milestone Document AARC2-MNA3.5</u> (submitted Jan 2018) referencing <u>wiki</u> <u>page with requirements</u> identified from use-cases. Further requirements may be added if identified during the project.
- Acceptable Use Policy alignment study
  - Work in progress
  - https://wiki.geant.org/pages/viewpage.action?pageId=86736956

Community/Infrastructure	Policy Link	Comment
BBMRI	Acceptable Use Policy of BBMRI-ERIC Services	Received from Petr Holub (15/1/18)
	Harmonised Access Procedure to Samples and <u>Data</u>	
	European Charter for Access to Research Infrastructures	
CTSC (template policy)	Acceptable Use Policy Template	Linked from Guide to Developing Cybersecurity Programs for NSF Science and Engineering Projects as google doc.
DAPHNI (RCUK)	RCUK_AcceptableUselCTSystemsServices.pdf	Downloaded from STFC homepages 1 November 2014
EGI	Acceptable Use Policy and Conditions of Use	Linked from EGI Approved Security Policies
		Also now at AARC Acceptable Use Policy (JSPG Evolved version)
ELIXIR	Acceptable Usage Policy and Conditions of Use	Based on the Acceptable Usage Policy of EGI, March 2015.
EUDAT	EUDAT Services Terms of Use	Linked from EUDAT homepage footer
HBP collaboratory	Terms and Conditions for Service	Version 1, released on 30 March 2016
OSG Connect	Open Science Grid User Acceptable Use Policy	Linked from OSG Security Policies
Prace	PRACE Acceptable Use Policy (Sept 2014)	Downloaded from 2014-09-08-PRACE-Acceptable-Use-Policy.pdf
XSEDE	XSEDE Acceptable Use Policy	Linked from XSEDE documentation web pages

	В	C	D	E	F	G	Н	1.	J	K	L	M	N	0	
UP P	olicy Co	omparison													
ee tal	ble of poli	icy links here: https://wiki.geant.org/x/PIArBQ													
. Interior school															
	Origin	Policy Base Clause	Policy Summary	НВР		EGI		EUDAT		ELIXIR		BBMR		Prac	ce
									Š.		-				
1	EGI	perform work, or transmit or store data consistent with the stated goals, policies and conditions of use as defined by the body or bodies granting you	Restrictions on use	2	(PLATFORM) Access to the Platform is reserved to Data Users for activities falling under the Approved Use only, (DATA) Use of	3		3	Expanded in "Use of shared services and resources" and "Legal Restrictions": EUDAT computing, storage and network resources are	3	"professional activities" and "life science research"	2	1)to generate new knowledge, 2) publishshare (ADOPT) Samples/Data can only be used for academic or industrial research	2	
2	EGI	resources/services provided as required by the body or bodies granting you access.	citation			3				3		2	In order to stay accountable for use of the infrastructure providing Samples/Data, Requesters are required to acknowledge the		
3	EGI	You shall not use the resources/services for any purpose that is unlawful and not (attempt to) breach or circumvent any administrative or security controls.	Lawful purposes and controls			3		3	Expanded in "Use of research resources with "inapropriate" use examples (Commercial gain, advertising, content etc.)	3		1	The intended activities are not inconsistent with legal and ethical requirements or widely recognised good research practice. (ADOPT)		
4	EGI		Intellectual property		Software and algorithms contained in Data Sets are made available pursuant to the terms of their respective license agreements	3		3	advertising, content etc.) (EUDAT) Software protection: Users must respect the legal protection provided by copyright and licensing of software and data; for	3				3	2000
5	EGI	You shall protect your access credentials (e.g. private keys or passwords).	Protect credentials	3	You must keep your password secret and not give it to anyone else or let them use your account.	3		3	Expanded under "Access Conditions" with best practice guides.	3					
6	EGI	You shall keep all your registered information correct and up to date.	Contact information			3				3				2	10,000
7	EGI	You shall immediately report any known or suspected security breach or misuse of the resources/services or access credentials to the specified incident reporting locations and to the	Incident reporting	1	You must inform us immediately if you suspect any unauthorized use of or access to your password or account.	3		3		3					
8	EGI	You use the resources/services at your own risk. There is no guarantee that the resources/services will be available at any time or that their integrity or confidentiality will be preserved or that they will suit.	Risk and suitability			3		3	Adds: EUDAT is not liable to any compensation in case of lost data or loss of service	3					
9	EGI		Personal data			3		2	Adds: Although efforts are made to maintain confidentiality, no guarantees are given. Expanded for Pl under "Personal information and	3				3	2000
10	EGI		Regulate access			3		3	Adds: "Administrative Action" section	3				2	10/00
11	EGI		Liability and reporting			3		3	Removes: "which may include" but adds section on "Potential sanctions" and "Legal action"	3					
12	EUDAT	Users must: Respect the privacy of other users; for example, not to intentionally seek information on, obtain copies of, or modify files, tapes, or passwords belonging to other users or EUDAT: Not	2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2		Data Users may receive access to de-identified or aggregated Data Sets and in such cases, they will not attempt to establish the identity			3				2	The User will avoid any attempts to reverse privacy enhancing technologies (i.e., pseudonomization).	1	160
13	PRACE	2.1 The User will have regard to the principles which require that PRACE acts exclusively for peaceful purposes and conduct its activities in an ethical manner.	Peaceful and ethical											3	The state of

- High-level clauses:
  - Restrictions on use
  - Acknowledgement or citation
  - Lawful purposes and controls
  - Intellectual property
  - Protect credentials
  - Contact information
  - Incident reporting
  - Risk and suitability
  - Personal data

- Regulate access
- Liability and reporting
- Respect privacy
- Peaceful and ethical
- Political restrictions
- Return of Data or Derived works

• ......

Acceptable Use Policies can vary considerably between organisations, service providers, and infrastructures. An AUP alignment study [AUPSTUDY] is currently ongoing, and its preliminary results indicate there is one 'family' of AUPs that are roughly similar, but beyond that a wider range of quite disparate AUP models. Of these disparate AUPs, many are either project specific and name specific services, or include managerial content (such as sanctions) that are specific to the Infrastructure or organisation. Organisational AUPs in addition may include references to personal use that are not appropriate in this case.

The one 'family' of AUPs are all derived from a single source, the Joint Security Policy Group Acceptable Use Policy (2006), whose signature has been preserved over time. This common heritage is evident ............

**David Groep** et al. - Preliminary Policy Recommendations for the LS AAI (application to R&S and CoCo)

https://aarc-project.eu/guidelines/aarc-g040/